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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
"SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT"

#6 / Supp.
IDS
11-8-01
R. Stokes

APPLICANT: Tony Albrecht et al. Docket No. P01,0121
SERIAL NO: 09/824,086 GROUP ART: 2881
DATE FILED: April 2, 2001 EXAMINER:
INVENTION: "OPTICALLY PUMPED, SURFACE-EMITTING
SEMICONDUCTOR LASER DEVICE AND METHOD
FOR THE MANUFACTURE THEREOF"

Assistant Commissioner for Patents
Washington D.C. 20231

SIR:

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In accordance with the provisions of 37 C.F.R. §1.56, Applicants
submit the references identified on the attached PTO-1449 form, copies are
enclosed herewith in accordance with 37 C.F.R. §1.98.

As of the mailing date of this Information Disclosure Statement, the
first Office Action on the merits has not been received in connection with this
application, and therefore this Information Disclosure Statement is in
compliance with 37 C.F.R. §1.97(b)(3), and no fee is necessary.

DISCUSSION OF RELEVANCE

Enclosed for the Examiner's review are copies of:
the U.S. Patent cited in the introductory portion of the present
specification;

the references cited in the search report by the German Patent Office
in the corresponding German application; and

the further references cited by the German Patent Office in the
examination report for the corresponding German application.

The Examiner is respectfully requested to consider the present
application favorable in view of the enclosed prior art.

Respectfully submitted,

 (#31,870)

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the
United States Postal Service as First Class Mail in an envelope addressed to:

The Commissioner for Patents
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on October 15, 2001.

